

# Trustability Improvement of an Automatic Train Protection System

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**Abstract.** The trustability of control software used in automatic train protection system is crucial to ensure safety of the system. Model-driven paradigm is becoming a de-facto industrial standard for modeling and designing safety critical control systems. This has led to an increased emphasis on setting up a mechanism that can be used to guarantee the correctness of the models. In this paper, we report on a successful application of the experience with Automatic Train Protection system (ATP) modeling techniques. The ATP models are constructed and refined based on Refinement Calculus of Object Systems (rCOS) in Model Driven Architecture (MDA). The time critical interaction mechanism of components is modeled by our extended timed automata.

**Keywords:** formal method, rCOS, automatic train control, Timed automata.

## 1 Introduction

With the rapid improvement and innovation of automatic control systems, the complexity of many control systems, especially safety critical systems requires the application of a battery of such techniques. One of the most promising approaches is model driven development.

Up to date, the most advanced train control system is the Communication Based Train Control (CBTC) system [1]. Its rapid deployment has enabled the primary safety features that can be gained from the Automatic Train Protection (ATP) to be introduced for the most safety critical areas [2, 3]. Thus how to model and verify the system to find the design defects has become one of the key issues of ATP [4].

Our approach is sketched as follows. Firstly, an interface model of component is presented with respect to Component-Based and Model-Driven Development specification. The models are defined based on the Refinement Calculus of Object Systems (rCOS) [5, 6]. Secondly, a language-independent dynamic behavior model is constructed by adding the concept of multiple-time to timed automata. In Interface Automata, we introduce the concept of clocks and extended time constraints of timed

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automata. These automata can model the real time interaction process directly. We apply this model to analysis of the timed behavior the ATP system.

The paper is organized as follows. Section 2 presents the architecture model of the component based system by rCOS. Section 3 elaborates on real-time analysis based on component model architecture. Section 4 states the application of the approach to our ATP system. Finally in section 5, we conclude our work.

## 2 Specification and Implementation Models

rCOS is developed to support model-driven development methods. It provides with multi-view modeling environment and combines object-oriented and component-based design and analysis techniques [7].

**Class Model In rCOS**, a class can be specified:

```

class      C [extends D]
invariant Inv
attr      Ti = ,           , Tk Xk= dk
method    m(T in; V return) {
           pre:  p V ... V p
           post: A (R; ... ;R) V ... V (R; ... ;R)
                A .....
                1* method m *1
method    m(T in; V return) { .....

```

## 3 Interface Automata of Components

To describe the timing features of real time system, we extend timed automata to enhance the ability of modeling multi clock system. Furthermore, we use an automaton to represent the interaction of components with its environment.

### 3.1 Time model

**Definition 3.1 [Clock]** A clock **C** is a tuple  $(\mathbf{I}, \mathbf{L}, \mathbf{M}, \mathbf{R}, \mathbf{u})$ , where

- $\mathbf{I}$  is a set of finite or infinite instants,
- $\mathbf{L}$  is a set of labels,
- $\mathbf{M} = \mathbf{I} \rightarrow \mathbf{L}$  is a mapping that associates labels to instance.
- $\mathbf{R}$  is a total, irreflexive and transitive binary relation on  $\mathbf{I}$ , named precedence.
- $\mathbf{u}$  represents a unit.

To express the multiple time features, we extend the clock constraints.

**Definition 3.2 [clock constraints]** For a set  $\mathbf{C}$  of clock variables, the set  $\mathbf{D}(\mathbf{C})$  of clock constraints  $\delta$  is defined inductively by

- $c \leq m$  has the original meaning in timed automata, which means the time of clock  $c$  is less than or equal to  $m$ .

- $c > m$  means the time of clock  $c$  is more than or equal to  $m$ ;
- $-<$  (precedence) is a binary relation on  $_{LA}$ , which is reflexive and transitive. Formally, let  $A$  and  $B$  be two discrete-time clocks.  $A$  **precedence**  $B$  (denoted  $A -< B$ ) if  $(\forall i \in \mathbb{N}) (k = i \text{ dx } B(i)) \Rightarrow A[k] B[k]$ .
- **(coincidence)** = df  $-- n > --$ .
- $-<$  (**strictly precedence**) is a strict form of **(precedence)**:  $A$  **StrictlyPrecede**  $B$  (denoted  $A -< B$ ) if  $(\forall i \in \mathbb{N}) (k = i \text{ dx } B(i)) \Rightarrow A[k] -< B[k]$ .
- $—$  (**Alternates**):  $A$  **Alternates**  $B$  (denoted  $A — B$ ) if  $(\forall i \in I_A) (k = i \text{ dx } A(i) \Rightarrow A[k] -< B[k] \vee AR + I)$ .

### 3.2 Interface Automata

We define interface automata to model the state transition of dynamic process.

**Definition 3.3** [Interface automata] For a component  $K$ , an interface automaton  $e[K] = (S, s_0, E, E, C)$  is a finite state automaton where,

- $S$  is a finite set of states.
- $s_0 \in S$  is the initial state.
- $E$  is the alphabet set, where  $E = \{ in /!out \mid in \in EK.Pp.M \text{ Aout } E K.Pr.M^* \}$ . The event  $in$  represents receiving provided service from reference interface and  $!out$  is a finite sequence of services.
- $E g S \times ExS \times 2c \times o(C)$  is the  $E$ -labeled transition relation. An edge  $(s, a, s', \theta, (c^*))$  represents a transition from state  $s$  to  $s'$  on input symbol  $a$ . The set  $\theta c c$  gives the clock to be reset with this transition, and a  $e$  (NC) is a clock constraint, and
- $C$  is a finite set of clocks.

## 4 Design of Automatic Train Control System

Communication Based Train Control (CBTC) is a train transportation control and protection system, which usually consists of Automatic Train Protection (ATP), Automatic Train Control (ATC) and Automatic Train Supervision (ATS) and ATP system is the core subsystem of CBTC. CBTC ensures the safe and accurate operation of trains on Automatic Train Control enabled lines [8].

### 4.1 System Overview

ATP ensures the safe and accurate operation of trains on Automatic Train Control enabled lines. ATP consists of the following devices (subsystems):

- *ATP Processing Unit (PU)* to receive train control commands from *Trainlines* and compare the train speed with limiting speed. It will also give braking command to *Braking Equipement (BE)* to apply brake.
- *Trainlines*, through which the control command and limiting speed are sent to *ATP Processing Unit*.
- *Mode Direction Handler (MDH)* for driver to select the drive mode, and each drive mode has a corresponding standard limiting speed.
- *SpeedSensor* to send actual speed of train to *ATP PU*.
- *Train Operation Display (TOD)* to display commands and speed information from *Trainlines* and other warning information from *ATP PU*.
- *Train Information Management (TIM)* for the management of train information such as drive mode and corresponding standard limiting speed.

## 4.2 Requirement Analysis

### Model of UC1 (UC 1): Speed Supervision in RMF

The use case is modeled by the contract of the provided interface of a component: *SuperviseSpeed*, and the provided interface of a component: *SpdSpvDeviceIF*.

```

class SpdSpvDevice implements SpdSpvDeviceIF::
    invariant ATP t null AATP.speedSensor null A ATP.modeList t null
        A ATP.TOD null A TIMt null
    method initialTrain()
        pre: ATP.lights.findLight(mode) 0 null
        post: mode' = 0 /*default drive mode: ATO */
            ATP.lights.findLight(mode).turnOn() /*ATP light turns on */
    method selectRMFMode()
        pre: ATP.lights.findLight(mode) null
        post: mode' = 3; /*RMF drive mode*/
            ATP.lights.findLight(mode).turnOn() /*RMP light turns on */
    method acquireActualSpeed()
        pre: true
        post: aspd' = ATP.speedSensor.acquireSpeed()
    method acquireRestrictedSpeed(int mkt; int rspd)
        pre: TIMmodeList.findMode(mId) 0 null
        post: rspd' = TIMmodeList.findMode(mId).getRestrictedSpeed()
    method compareSpeed(int aspd, int rspd)
        pre: true
        post: dif = rspd - aspd;
    method announceWarnMsg()
        pre: 0 < dif < criticalSpeed
        post: ATP.TOD.displayWarn()
    method brakeByHuman()
        pre: 0 < dif < criticalSpeed
        post: ATP.CBE.brakeByHuman()
    method emergencyBrake()
        pre: dif <= 0
        post: ATP.EBE.brake()

```

## 4.5 Dynamic Process Analysis

To ensure that the train is running in a safe condition, the real-time and safety properties of system should be considered during design.

**Informal description** The whole process of the brake by human and emergency brake with time constraint can be described as follows:

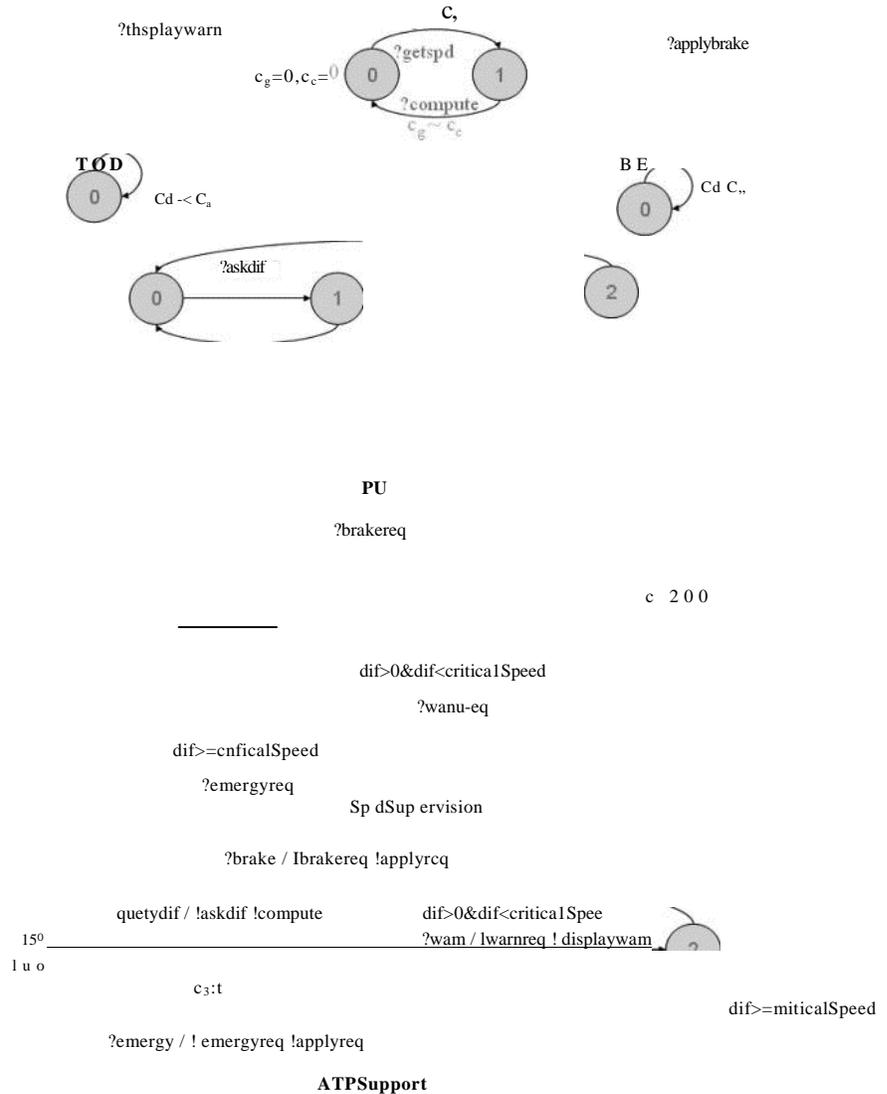


Fig. 1. interface automata model

1. When the *SpdController* asks the *PU* to compute the difference between the limiting speed and current speed, the *PU* returns the computing result, *dif*.
2. The *SpdController* informs the *ATPSupport* (here it acts like a connector) to display the warning message when *dif* is less than *criticalSpeed*.
3. The *ATPSupport* request the *TOD* to display the warning message to the train driver within 200ms. When the warn message is displayed in the *TOD*, the *TOD* will give a feedback message to the *ATPSupport*, which will also inform the *SpdController* that the warn message has displayed.
4. After the *ATPSupport* got the message from the *SpdController* (originally from the driver) to apply brake, it sends a brake request to *BE* and *BE* should finish the brake within 150 ms. Then the *BE* similarly gives a feedback message to the *ATPSupport* and the *ATPSupport* informs the the *SpdController* that brake task has been

finished.

5. If  $dif$  is less than or equal to zero, which means the current speed exceeds the limiting speed, the *SpdController* informs the *ATPSupport* to send the command of applying emergency brake to *BE* and *BE* should finish the brake within 100 ms. Then the *BE* similarly gives a feedback message to the *ATPSupport* and the *ATPSupport* informs the *SpdController* that emergency task has been finished.

**Interface automata Model** According to the description a for *Brake by Human* and *Emergency Brake*, we obtain corresponding interface automata models in Fig. 1.

## 5. Conclusion and Discussion

In this paper, we have presented an approach to model ATP System from a unified multiple views. Component based architecture is used to model networked Telemedicine System. We extended Timed Automata with multiply time characteristics by introducing clock and clock constraints. With this extension, we presented a component-based approach for the modeling of real-time system. A component-based system is a number of parallel components to realize an application by invoking services provided by other components. Interface Automata are defined for components interaction.

We will focus on the verification approach and tools base of interface automata model to ensure the correctness and safety of software system.

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